Atty Docket No. 018072-000600US

PTO FAX NO.:

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ATTENTION:

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Group Art Unit 1627

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I hereby certify that the following document(s)in re Application of Steven C. Quay, Application No. PRODUCTION AND USE OF DERIVATIZED 09/587,116, filed June 2, 2000 for HOMOSERINE LACTONES is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Document(s) Attached

- Transmittal
- 2. Supplemental Preliminary Amendment

Number of pages being transmitted, including this page: 6

Dated: January 28, 2002

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PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 Please type a plus sign (+) Inside this box -> + U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/587,116 Application Number TRANSMITTAL June 2, 2000 **Filing Date** FORM Quay, Steven C. First Named Inventor Group Art Unit 1627 (to be used for all correspondence after initial filling) Small, Andrea Examiner Name Attorney Docket Number 018072-000600US Total Number of Pages in This Submission 15 ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form Group (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Amendment / Response Petition Routing Slip (PTO/SB/69) Proprietary Information After Final and Accompanying Petition Petition to Convert to a Status Letter Affidavits/declaration(s) Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Terminal Disclalmer Return Postcard Express Abandonment Request Request for Refund ☐ Information Disclosure Statement CD, Number of CD(s) The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1,52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm and Reg. No. 42,837 Jeffry S. Mann Individual name Signature January 28, 2002 Date CERTIFICATE OF MAILING

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Steven C. Quay

Application No.: 09/587,116

Filed: June 2, 2000

For: PRODUCTION AND USE OF DERIVATIZED HOMOSERINE LACTONES Examiner: Small, Andrea

Art Unit: 1627

SUPPLEMENTAL PRELIMINARY AMENDMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Prior to examination of the above-referenced application, please enter the following amendments and remarks.

IN THE CLAIMS:

Please cancel claim 4

Please amend claim 1 as follows:

1. (Amended Twice) A compound having the structure:

1

2

X1 X2 R

(I)